

REGISTRATION FORM (FOR ALL CATEGORIES OF INVESTORS)	<b>Information about the Principal Account Holder (Please use BLOCK LETTERS)</b>													
	Name: Mr./ Mrs./ Ms./ M/s.		ID No. (CNIC/ Passport)*											
			Phone No. Off					Res.						
	Father's/ Husband's/ Guardian's Name:		Fax					Mobile						
	Address			Email										
				Nationality			Date of Birth							
		D	D	M	M	Y	Y							
	City		Country											
	Gender	<input type="checkbox"/> Male	<input type="checkbox"/> Female	Occupation					Zakat Deduction*	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Status	<input type="checkbox"/> Resident	<input type="checkbox"/> Non Resident
	<b>Bank Information (for redemptions &amp; dividend mandate)</b>													
Bank Account Title					Bank Branch				Branch City					
Bank Account No.					Branch Address									
Bank Name														
<b>Joint Account Holder Information/ Authorised Signatories</b>														
Operating Instruction:	<input type="checkbox"/> Principal a/c Holder only	<input type="checkbox"/> Anyone	<input type="checkbox"/> Jointly by any two	<input type="checkbox"/> Jointly by all	(In case of institution, signature should be accompanied by rubber stamp)									
Name: (Mr./ Mrs./ Ms.)					Specimen Signature									
ID No. (CNIC/ Passport) *														
Name: (Mr./ Mrs./ Ms.)					Specimen Signature									
ID No. (CNIC/ Passport) *														
Name: (Mr./ Mrs./ Ms.)					Specimen Signature									
ID No. (CNIC/ Passport) *														
<b>Information about Nominee(s) not applicable in case of joint holder(s)</b>														
Name: Mr./ Mrs./ Ms./ M/s					ID No. (CNIC/ Passport) *									
					Relationship with Principal a/c Holder:				Share (%)					
Name: Mr./ Mrs./ Ms./ M/s					ID No. (CNIC/ Passport) *									
					Relationship with Principal a/c Holder:				Share (%)					
<b>For Institutions only</b>														
Category of Institution:	<input type="checkbox"/> Corporate	<input type="checkbox"/> Bank	<input type="checkbox"/> NBF/ NBFC	<input type="checkbox"/> Insurance	<input type="checkbox"/> Brokerage	<input type="checkbox"/> Trust/ NGO	Others _____							
Registration/ Incorporation No. :					<input type="checkbox"/> Listed	<input type="checkbox"/> Unlisted	Tax Exemption*	<input type="checkbox"/> Yes	<input type="checkbox"/> No	N.T.N.				
<b>Other Information</b>														
Please do not reinvest my dividend :	<input type="checkbox"/>				Send more frequent statements at additional charges									
Please encash my bonus units :	<input type="checkbox"/>				<input type="checkbox"/> Monthly	<input type="checkbox"/> Quarterly	<input type="checkbox"/> Half Yearly	<input type="checkbox"/> Yearly						
<b>Declaration</b>														
I/ we hereby acknowledge having read and understood the relevant Trust Deed(s), Offering Document(s) and guidelines (on the back of this Form) that govern this transaction and further acknowledge having understood the risks involved and I/ we agree to abide by the terms and conditions therein.														
Signature of applicant(s) (with Rubber Stamp in case of Institutional Client)														
<b>For Official use only</b>														
<b>Facilitator</b>														
Code	Remarks				Signature			Investment Form No						
<b>Distributor</b>														
D	D	M	M	Y	Y	H	H	M	M	AM	PM			
Form Received on Date/ Time				Code	Remarks	Authorised Person's Name	Signature & Stamp							
<b>Registrar</b>														
D	D	M	M	Y	Y	H	H	M	M	AM	PM			
Form Received on Date/ Time				Form & Attachment Verified by	Data Input by	Data Verified by	Investor Reg. No. Allotted							

\* Please attach certified true copies

### **Guidelines for filling out KASB Funds Limited Registration Form – KFL-01**

This form is a one-time requirement for all categories of investors, and is required to be filled only when the account is opened. Please complete the form in Blocks Letters with a ball point pen. Make sure that you have read the relevant Trust Deed(s) and Offering Document(s) before filling this form.

#### **Information about the Principal Account Holder**

- i) In case of an Application form being filled in by the guardian, on behalf of a minor(s), the name of the minor(s) as well as of the guardian should be written clearly on the Form and the guardian's signature should be recorded in the specified section.
- ii) All Non-Residents need to tick in the box assigned for the purpose.

#### **Bank Information**

- iii) In this section, the details of the bank account of the Principal Account Holder is to be recorded in full. Any error in filling this information may cause delay in transfer of funds to the Account Holder.
- iv) These details shall be used for both redemptions and dividend mandates, where applicable.

#### **Authorised Signatories**

- v) In case of institutions this section shall be used for authorised signatories and rubber stamp of the institution should be fixed for each signatory.

#### **Information about the Nominee**

- vi) This section is not applicable in case of joint holder(s).
- vii) The names of the nominees, if any, and relationship with the Principal Account Holder and their entitlement.
- viii) In case of death or lunacy of the principal account holder, the title of the account shall be transferred to the nominee upon provision of sufficient evidence of the title to the satisfaction of the Registrar. The onus of proof for the entitlement to the satisfaction of the management company, will be upon the nominee.

#### **Other information**

- ix) In this section, the investor is given an option of receiving dividend in cash, however by default the dividend will always be reinvested.
- x) In case of individuals, Zakat declaration of the Principal as well as the Joint Account Holders (if any) is required, in order to claim exemption from Zakat deduction.
- xi) The Registrar will send directly to each Account Holder, an account statement upon every transaction in the account. However, account holders may indicate his or her desire to receive more frequent statements of account at additional charges.

#### **Other Instructions**

- xii) In case the Account Holder/ Joint Account Holder(s) is/ are illiterate and cannot sign, then he/ she must be required to submit a clear copy of CNIC with his/ her thumb impression on it and same would be affixed on the form along with one recent passport size photograph authenticated by his/ her banker. His/ her form would also need to be signed by two witnesses.
- xiii) The account holder should tick the relevant boxes only.
- xiv) It is the responsibility of the applicant to pay all stamp duties, taxes and processing charges in relation to the registration process.
- xv) If an acknowledgement of the investment is not received within 10 working days, the investor should contact KASB Funds Limited.

#### **Documents Required (Certified True Copies)**

<b>Institutional Clients</b>	<b>Individual Clients</b>
1. Articles and Memorandum of Association. <input type="checkbox"/>	1. Copy of CNIC of the Principal Account Holder. <input type="checkbox"/>
2. Certificate of Incorporation. <input type="checkbox"/>	2. Copy of Passport in case of Non Resident. <input type="checkbox"/>
3. List of Directors with copies of their CNIC. <input type="checkbox"/>	3. Copy of CNIC of Joint Holder(s). <input type="checkbox"/>
4. List of signatories with copies of their CNIC. <input type="checkbox"/>	4. Copy of CNIC of Nominee(s). <input type="checkbox"/>
5. Board Resolution. <input type="checkbox"/>	5. Zakat Declaration Certificate. <input type="checkbox"/>
6. NTN Certificate <input type="checkbox"/>	6. Form-B (Registration Certificate) in case of minor. <input type="checkbox"/>
7. Tax Exemption Certificate (if any). <input type="checkbox"/>	
8. Other incorporation document(s). <input type="checkbox"/>	

For further information or any other queries you are requested to contact our representatives.

KASB FUNDS LIMITED.

11<sup>th</sup> Floor, Trade Centre,

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Karachi-74200,

Pakistan.

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